

State of Washington

STATEMENT OF DEFICIENCIES AND PLAN OF CORRECTION		(X1) PROVIDER/SUPPLIER/CLIA IDENTIFICATION NUMBER: 60429197	(X2) MULTIPLE CONSTRUCTION A. BUILDING: _____ B. WING _____	(X3) DATE SURVEY COMPLETED 09/28/2018
NAME OF PROVIDER OR SUPPLIER CASCADE BEHAVIORAL HOSPITAL		STREET ADDRESS, CITY, STATE, ZIP CODE 12844 MILITARY ROAD SOUTH TUKWILA, WA 98168		
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L 000	<p>INITIAL COMMENTS</p> <p>STATE LICENSING SURVEY</p> <p>The Washington State Department of Health (DOH) in accordance with Washington Administrative Code (WAC), Chapter 246-322 Private Psychiatric and Alcoholism Hospitals, conducted this health and safety survey.</p> <p>Onsite dates: 09/25/18 to 09/28/18</p> <p>Examination number: 2018-787</p> <p>The survey was conducted by:</p> <p>Surveyor #2 Surveyor #3</p> <p>The Washington Fire Protection Bureau conducted the fire life safety inspection.</p>	L 000	<p>1. A written PLAN OF CORRECTION is required for each deficiency listed on the Statement of Deficiencies.</p> <p>2. EACH plan of correction statement must include the following: The regulation number and/or the tag number;</p> <p>HOW the deficiency will be corrected;</p> <p>WHO is responsible for making the correction;</p> <p>WHAT will be done to prevent reoccurrence and how you will monitor for continued compliance; and</p> <p>WHEN the correction will be completed.</p> <p>3. Your PLANS OF CORRECTION must be returned within 10 calendar days from the date you receive the Statement of Deficiencies. Your Plans of Correction must be postmarked by October 22, 2018.</p> <p>4. Return the ORIGINAL REPORT with the required signatures.</p>	
L 345	322-035.1i POLICIES-PHARMACY	L 345		
WAC 246-322-035 Policies and Procedures. (1) The licensee shall develop and implement the following written policies and procedures consistent with this chapter and services provided: (i) Pharmacy and medication services consistent with WAC 246-322-210;				

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LABORATORY DIRECTOR'S OR PROVIDER/SUPPLIER REPRESENTATIVE'S SIGNATURE

TITLE

(X6) DATE

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L 345	<p>Continued From page 1</p> <p>This Washington Administrative Code is not met as evidenced by:</p> <ul style="list-style-type: none"> Based on record review, interview, and review of hospital policies and procedures, the hospital failed to ensure staff members perform and document assessments prior to administering medication ordered by a physician for 2 of 3 patient records reviewed (Patient #302, #303). Failure to assess and follow medication protocols puts patients at risk for delayed or inadequate treatment and may result in patient harm. <p>Findings included:</p> <ol style="list-style-type: none"> 1. Document review of the hospital's policy titled, "CIWA," policy number AR.C.210, last reviewed 09/18, showed that initiation of the Clinical Institute Withdrawal Assessment (CIWA) protocol set order must be ordered by a practitioner. Nursing staff will follow the CIWA protocol upon initiation by a provider. 2. On 09/26/18 at 12:50 PM, Surveyor #3 reviewed the medical record of three patients who were placed on the CIWA protocol for alcohol withdrawal during their hospitalization. The review showed: <ul style="list-style-type: none"> a. Patient #302 was admitted on 09/25/18 and assessed on 09/26/18 at 6:00 AM with a total CIWA score of 7 and was administered 1 mg of lorazepam (an antianxiety medication) by mouth as prescribed by the protocol. -A second dose of lorazepam 1mg was administered on 09/26/18 at 9:00 AM; however, no CIWA assessment was performed by the nursing staff prior to the medication 	L 345		

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L 345	<p>Continued From page 2</p> <p>administration. A CIWA assessment was performed at 10:00 AM, which is one hour after medication administration.</p> <p>b. Patient #303 was admitted on 09/25/18 and administered 0.5 mg of lorazepam by mouth on 09/26/18 at 9:00 PM. No CIWA assessment was performed by the nursing staff prior to medication administration. A CIWA assessment was performed at 10:00 PM, which is one hour after medication administration.</p> <p>3. On 09/26/18 at 2:00 PM, Surveyor #3 interviewed the Nurse Manager (#301) about the CIWA protocol. Staff #301 stated the CIWA protocol is designed so that a CIWA assessment score is obtained and then the corresponding medication is administered based upon that score. He confirmed the nursing staff had not followed the protocol as prescribed by the practitioner.</p>	L 345		
L 450	<p>322-040.7 ADMIN-APPOINT STAFF</p> <p>WAC 246-322-040 Governing Body and Administration. The governing body shall: (7) Appoint and periodically reappoint the professional staff; This Washington Administrative Code is not met as evidenced by:</p> <p>.</p> <p>Based on record review and interview, the hospital failed to ensure that a physician assistant had a documented Physician Assistant Delegation Agreement.</p> <p>Failure to ensure that physician assistants have physician supervision places patients at risk for</p>	L 450		

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L 450	<p>Continued From page 3</p> <p>inadequate care.</p> <p>Reference: Revised Code of Washington (RCW) 18.71A.040 (1) (2), (1) No physician assistant practicing in this state shall be employed or supervised by a physician or physician group without the approval of the commission. (2) Prior to commencing practice, a physician assistant licensed in this state shall apply to the commission for permission to be employed or supervised by a physician or physician group. The delegation agreement shall be jointly submitted by the physician or physician group and physician assistant. Administrative procedures, administrative requirements, and fees shall be established as provided in RCW 43.70.250 and 43.70.280. The delegation agreement shall delineate the manner and extent to which the physician assistant would practice and be supervised. Whenever a physician assistant is practicing in a manner inconsistent with the approved delegation agreement, the commission may take disciplinary action under chapter 18.130 RCW.</p> <p>Findings included:</p> <ol style="list-style-type: none"> 1. On 09/27/18 from 11:30 AM to 12:30 PM, Surveyor #2 reviewed medical staff credentialing records. Record review of the medical staff credentialing files for a physician assistant (Staff #208) showed that the document, "Physician Assistant Delegation Agreement and Standardized Procedures Reference and Guidelines," a required supervisory agreement, was not on file and had not been submitted to the Medical Commission. 2. On 09/27/18 at 3:15 PM, Surveyor #2 interviewed the Medical Staff Coordinator (Staff 	L 450		

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L 450	Continued From page 4 #209) about the missing supervisory agreement for Staff #208. The coordinator stated that she was unable to find the required documents and that it was not submitted to the commission. The coordinator had the physician assistant and the supervising physician (Staff #210) complete the agreement after the credentialing review.	L 450		
L 670	322-050.12G RECORDS-PERFORM EVALS WAC 246-322-050 Staff. The licensee shall: (12) Maintain a record on the hospital premises for each staff person, during employment and for two years following termination of employment, including, but not limited to: (g) Annual performance evaluations. This Washington Administrative Code is not met as evidenced by: . Based on document review, the hospital failed to ensure that annual performance evaluations were performed and retained for 5 of 9 staff members reviewed (Staff #201, #202, #203, #204, #205). Failure to conduct annual performance evaluations limits the hospital's ability to ensure that staff members are satisfactorily performing required job duties. Findings included: 1. Record review of the hospital policy titled, "Performance Evaluations," policy number EHB.P.200, reviewed 01/18, showed that staff should receive annual performance reviews. 2. On 09/27/18 from 10:00 AM to 11:30 AM,	L 670		

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L 670	<p>Continued From page 5</p> <p>Surveyor #2 reviewed human resources records for 9 staff members. Review of the annual performance evaluations showed the following:</p> <ul style="list-style-type: none"> a. A registered nurse (Staff #201), hired in 11/16, did not have a completed annual performance evaluation in his personnel file. b. A mental health technician (Staff #202) had her last performance evaluation conducted on 05/11/17. c. A mental health technician (Staff #203) had her last performance evaluation conducted in 06/17. d. A chemical dependency professional (Staff #204) had her last performance evaluation conducted in 07/17. e. A mental health technician (Staff #205) had her last performance evaluation conducted in 06/17. <p>3. Surveyor #2 reviewed personnel files with the Human Resources Director (Staff #204). During the review, the director confirmed that the identified staff members did not receive recent annual performance evaluations.</p>	L 670		
L1165	322-180.2 EMERGENCY SUPPLIES WAC 246-322-180 Patient Safety and Seclusion Care. (2) The licensee shall provide adequate emergency supplies and equipment, including airways, bag resuscitators, intravenous fluids, oxygen, sterile supplies, and other equipment identified in the policies and procedures, easily accessible to	L1165		

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L1165	<p>Continued From page 6</p> <p>patient-care staff.</p> <p>This Washington Administrative Code is not met as evidenced by:</p> <p>.</p> <p>Based on observation, document review, and interview, the hospital failed to have all the required emergency supplies available for patient care for 6 of 6 patient care units reviewed.</p> <p>Failure to have the required emergency supplies available risks delayed patient care and treatment.</p> <p>Findings included:</p> <p>1. Document review of the hospital's policy titled, "Emergency Cart," policy number PC.C.110, last reviewed 01/18, showed that "all emergency carts will be stocked according to WAC 246-322-180 standards". Emergency carts will be secured and available on all patient care units at all times for emergency use.</p> <p>Document review of the hospital's daily log for emergency cart inventory checks showed a list of the cart's contents by drawer. The inventory list did not include intravenous fluids and associated items to initiate intravenous therapy as part of its contents.</p> <p>2. On 09/25/18 at 9:00 AM during a tour of the 2-West Adult Psychiatric Unit, Surveyor #3 inspected their emergency cart. The surveyor observed there were no intravenous fluids and associated items to initiate intravenous therapy in the cart.</p> <p>3. On 09/25/18 at 9:30 AM, Surveyor #3 interviewed the Nurse Manager (Staff #301) about the 2-West emergency cart. Staff #301</p>	L1165		

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L1165	<p>Continued From page 7</p> <p>stated that none of the hospital's emergency carts contained intravenous fluids as part of their inventory stockage list. He indicated the hospital does not perform intravenous therapy as part of its service.</p>	L1165		
L1265	<p>322-200.3F RECORDS-OBSERVATIONS</p> <p>WAC 246-322-200 Clinical Records. (3) The licensee shall ensure prompt entry and filing of the following data into the clinical record for each period a patient receives inpatient or outpatient services: (f) Significant observations and events in the patient's clinical treatment; This Washington Administrative Code is not met as evidenced by:</p> <p>.</p> <p>Based on record review and review of hospital policies and procedures, the hospital failed to document significant medical emergencies in the clinical treatment for 1 of 2 patient records reviewed (Patient #301).</p> <p>Failure to document a patient's cardiac arrest decreases the quality of the information the hospital can provide for ongoing treatment of the patient and hinders the hospital's ability to evaluate the effectiveness of their emergency response.</p> <p>Findings included:</p> <p>1. Document review of the hospital's policy and procedure titled, "Code Blue," policy number PC.C.100, last reviewed 01/18, showed that the code blue event will be documented on the Code Blue Record and placed in the medical record</p>	L1265		

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L1265	<p>Continued From page 8</p> <p>when completed. The Code Blue will be evaluated through completion of the Code Blue Evaluation form by the Registered Nurse.</p> <p>Document review of the hospital's policy and procedure titled, "Code Blue Response - Medical Emergency / Cardiac Arrest," policy number EM-024, last revised 01/18, showed that if a person receiving cardiopulmonary resuscitation (CPR) is a patient, staff will document in the medical record several items. These items include: assessment of the condition, time, placed found, what was done by staff, patient's response to what was done, when patient left facility, and where he/she was transferred, and in what condition the patient was in when they left the facility.</p> <p>Document review of the hospital's policy and procedure titled, "Documentation Protocols," policy number PC.L.300, last reviewed 01/18, showed that staff are to document accurately the services provided.</p> <p>2. Record review of Patient #301 revealed the following:</p> <p>Patient #301 was a 52-year old woman admitted on 07/07/18 for acute psychosis with a significant medical history for morbid obesity, diabetes, and hypertension. On 07/16/18, the patient was found unresponsive in her room during routine patient checks. A code blue was initiated, and eventually death was declared.</p> <p>No Code Blue Form documenting the staff's response to the patient's cardiac arrest could be located in the patient's medical record. No nursing or physician note describing the event could be found. Additionally, no Code Blue</p>	L1265		

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L1265	<p>Continued From page 9</p> <p>Evaluation form could be located for the cardiac arrest event.</p> <p>3. On 09/27/18 at 3:00 PM, Surveyor #3 interviewed the Director of Risk & Quality Management (Staff #302) about Patient #301's cardiac arrest and associated documentation. Staff #302 confirmed the missing documentation and the expectation that staff document the medical emergency events in the patient's medical record.</p>	L1265		

Redaction Summary (0 redactions)

0 Privilege / Exemption reason used:

Redacted pages: